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Box Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington D.C., 20231

FORM PTO-1082
Case Docket No.: 72162-243315
Date: October 9, 2001
Express Mail Label No.: EL 724 025 933 US

Dear Sir:

Transmitted herewith for filing is the patent application of
Inventor(s): ASHOK K. AGARWAL
For: COMPOSITE NANOFILTRATION AND REVERSE OSMOSIS MEMBRANES
AND METHOD FOR PRODUCING THE SAME

Enclosed are:

- ☐ Sheets(s) of drawings (____ informal)
☒ An assignment of the invention to PTI Advanced Filtraton, Inc.
☐ An associate power of attorney
☐ A verified statement to establish small entity status under 37 CFR1.9 and 1.27.
☒ Declaration and Power of Attorney.
☐ Certified copy of Patent Application No. _____ filed _____ from which priority is
claimed under 35 U.S.C. §110.
☒ IDS enclosed with 6 references and Form PTO-1449.
☐ Preliminary Amendment.

| CALCULATION OF FEES | | | | | |
|--|------------------------------------|----------------------------|--|-----------|-------|
| ITEM | NO. OF CLAIMS FILED MINUS BASE* | NO. OF CLAIMS OVER BASE | X SM/LG ENTITY FEE | \$ AMOUNT | FEE |
| A TOTAL CLAIMS FEE | 21 -20* = | 1 | x \$9 or x \$18 | \$ 18 | |
| B INDEPENDENT CLAIMS FEE** | 2 -3* = | 0 | x\$42 or x 84 | \$0 | |
| C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | | \$18 |
| D MULTIPLE-DEPENDENT CLAIMS FEE | | | SMALL ENTITY FEE = \$135 LARGE ENTITY FEE = \$270 | | \$ |
| E BASIC FEE* | | | SMALL ENTITY FEE = \$370 LARGE ENTITY FEE = \$740 | | \$740 |
| F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | \$758 |
| G ASSIGNMENT RECORDING FEE | | | | \$40 | \$40 |
| **LIST INDEPENDENT CLAIMS 1 and 12 | | | | | |

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☒ A check in the amount of \$758
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X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3975. **A copy of this sheet is enclosed.**

X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

X Any patent application processing fees under 37 CFR 1.17.

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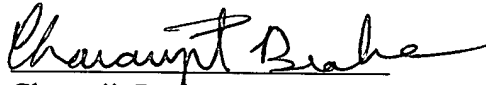
_____ Any patent application processing fees under 37 CFR 1.17.

_____ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

_____ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

Dated: October 9, 2001


Charanjit Brahma
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72162-243314

FOOT # 20341758v1

PATENT
72162-243315

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|--|---|
| In re application of: ASHOK K. AGARWAL Serial No.: UNASSIGNED Filed: OCTOBER 9, 2001 For: COMPOSITE NANOFILTRATION AND REVERSE OSMOSIS MEMBRANES AND METHOD FOR PRODUCING THE SAME | Group No.: UNKNOWN Examiner: UNKNOWN |
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Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ Letter of transmittal
- ☒ Check in the amount of \$758 as filing fee.
- ☒ Patent application (10 pages of specification; 21 claims; 1 page of abstract)
- ☒ Declaration and Power of Attorney
- ☒ Executed assignment, with Recordation Cover Letter and check in amount of \$40
- ☒ Information Disclosure Statement with 6 references and Form PTO-1449
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

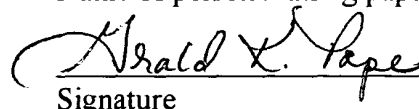
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